



Appendix A: Statewide Plan Criteria

The following is a complete list of the state plan criteria as documented in the FY 2007 SAFECOM Grant Guidance²⁰ document.

1. Background and Preliminary Steps	
<input type="checkbox"/>	1.1. Provide an overview and background information on the state and its regions. Include geographic and demographic information.
<input type="checkbox"/>	1.2. List all agencies and organizations that participated in developing the plan.
<input type="checkbox"/>	1.3. Identify the point of contact. DHS expects that each state will have a full-time interoperability coordinator. The coordinator should not represent or be affiliated with any one particular agency and should not have to balance the coordinator duties with other responsibilities.
<input type="checkbox"/>	1.4. Describe the communications and interoperability environment of the current emergency response effort.
<input type="checkbox"/>	1.5. Include a problem definition and possible solutions that address the challenges identified in achieving interoperability within the SAFECOM Interoperability Continuum.
<input type="checkbox"/>	1.6. Identify any Tactical Interoperability Communications Plans (TICPs) in the state.
<input type="checkbox"/>	1.7. Set the scope and timeframe of the plan.
2. Strategy	
<input type="checkbox"/>	2.1. Describe the strategic vision, goals, and objectives for improving emergency response interagency wireless communications statewide, including how they connect with existing plans within the state.
<input type="checkbox"/>	2.2. Provide a strategic plan for coordination with neighboring states. If applicable, include a plan for coordination with neighboring countries.
<input type="checkbox"/>	2.3. Provide a strategic plan for addressing data interoperability in addition to voice interoperability.
<input type="checkbox"/>	2.4. Describe a strategy for addressing catastrophic loss of communication assets by developing redundancies in the communications interoperability plan.
<input type="checkbox"/>	2.5. Describe how the plan is, or will become, compliant with the National Incident Management System (NIMS) and the National Response Plan.
<input type="checkbox"/>	2.6. Describe a strategy for addressing communications interoperability with the safety and security elements of the major transit systems, intercity bus service providers, ports, and passenger rail operations within the state.
<input type="checkbox"/>	2.7. Describe the process for periodic review and revision of the state plan.
3. Methodology	
<input type="checkbox"/>	3.1. Describe the method by which multi-jurisdictional, multi-disciplinary input was provided from all regions of the state. For an example of a methodology that ensures input from all regions, see the Statewide Communication Interoperability Plan, or SCIP, methodology developed by SAFECOM.
<input type="checkbox"/>	3.2. Define the process for continuing to have local input and for building local support of the plan.

²⁰ The entire FY 2007 SAFECOM Grant Guidance document can be downloaded at <http://www.safecomprogram.gov/SAFECOM/grant/default.htm>.



<input type="checkbox"/>	3.3. Describe how the TICPs were incorporated into the statewide plan.
<input type="checkbox"/>	3.4. Describe the strategy for implementing all components of the statewide plan.
4. Governance	
<input type="checkbox"/>	4.1. Identify the executive or legislative authority for the governing body of the interoperability effort.
<input type="checkbox"/>	4.2. Provide an overview of the governance structure that will oversee development and implementation of the plan. Illustrate how it is representative of all of the relevant emergency response disciplines and regions in the state.
<input type="checkbox"/>	4.3. Provide the charter for the governing body, and use the charter to state the principles, roles, responsibilities, and processes.
<input type="checkbox"/>	4.4. Identify the members of the governing body and any of its committees.
<input type="checkbox"/>	4.5. Provide a meeting schedule for the governing body.
<input type="checkbox"/>	4.6. Describe multi-jurisdictional, multi-disciplinary agreements needed for decision making and for sharing resources.
5. Technology	
<input type="checkbox"/>	5.1. Include a statewide capabilities assessment (or a plan for one) which includes, critical communications equipment and related interoperability issues. At a minimum this should include types of radio systems, data and incident management systems, the manufacturer, and frequency assignments for each major emergency responder organization within the state. Ultimately more detailed information will be required to complete the documentation of a migration strategy. States may use the Communications Asset Survey and Mapping (CASM) tool to conduct this assessment.
<input type="checkbox"/>	5.2. Describe plans for continuing support of legacy systems, and developing interfaces among disparate systems, while migrating to newer technologies.
<input type="checkbox"/>	5.2.1. Describe the migration plan for moving from existing technologies to newly procured technologies.
<input type="checkbox"/>	5.2.2. Describe the process that will be used to ensure that new purchases comply with the statewide plan, while generally allowing existing equipment to serve out its useful life.
6. Standard Operating Procedures (SOPs)	
<input type="checkbox"/>	6.1. Include an assessment of current local, regional, and state operating procedures which support interoperability.
<input type="checkbox"/>	6.2. Define the process by which the state, regions, and localities will develop, manage, maintain, upgrade, and communicate standard operating procedures (SOPs), as appropriate.
<input type="checkbox"/>	6.3. Identify the agencies included in the development of the SOPs, and the agencies expected to comply with the SOPs.
<input type="checkbox"/>	6.4. Demonstrate how the SOPs are NIMS-compliant in terms of the Incident Command System (ICS) and preparedness.
7. Training and Exercises	
<input type="checkbox"/>	7.1. Define the process by which the state will develop, manage, maintain, and upgrade, or coordinate as appropriate, a statewide training and exercise program.
<input type="checkbox"/>	7.2. Describe the process for offering and requiring training and exercises, as well as any certification that will be needed.



<input type="checkbox"/> 7.3. Explain how the process ensures that training is cross-disciplinary.
8. Usage
<input type="checkbox"/> 8.1. Describe the plan for ensuring regular usage of the relevant equipment and the SOPs needed to improve interoperability.
9. Funding
<input type="checkbox"/> 9.1. Identify committed sources of funding, or the process for identifying and securing short- and long-term funding.
<input type="checkbox"/> 9.2. Include a plan for the development of a comprehensive funding strategy. The plan should include a process for identifying ongoing funding sources, anticipated costs, and resources needed for project management and leveraging active projects.
10. Implementation
<input type="checkbox"/> 10.1. Describe the prioritized action plan with short- and long-term goals for achieving the objectives.
<input type="checkbox"/> 10.2. Describe the performance measures that will allow policy makers to track the progress and success of initiatives.
<input type="checkbox"/> 10.3. Describe the plan for educating policy makers and practitioners on interoperability goals and initiatives.
<input type="checkbox"/> 10.4. Describe the roles and opportunities for involvement of all agencies in the implementation of the statewide plan.
<input type="checkbox"/> 10.5. Establish a plan for identifying, developing, and overseeing operational requirements, SOPs, training, technical solutions, and short- and long-term funding sources.
<input type="checkbox"/> 10.6. Identify a POC responsible for implementing the plan.
<input type="checkbox"/> 10.7. Describe critical success factors for implementation of the plan.